**TENDER APPLICATION IDENTIFIER: write here a short name/acronym to be used as your tender identifier throughout the Tender application forms**

Form D: Compliance Criteria

AI4Cities Request for Tenders

**Tender Identifier: write here a short name/acronym to be used as your tender identifier throughout the Tender application forms.**

**Attention**

1. This form should be submitted by the Tenderer or the Lead Tenderer in the case of a consortium.
2. In the case of this Tender being submitted by a consortium, these compliance criteria will be applied to the consortium as a whole.
3. Responses to these questions will be assessed as **pass/fail**. Only those bids achieving a “pass” will be considered for further evaluation.

 **A Tenderer will be excluded from participation in the contracts if a review or evaluation reveals that the information provided does not conform to the compliance criteria.**

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| **A – Compliance with the R&D definition** |
| Name of the Tenderer or Lead Tenderer in the case of a Consortium: |
| **A1** | The Tenderer declares that the submitted offer contains a minimum of 50% R&D-services and that the total value of products offered in each Phase will be less than 50 % of the total value of the framework agreement. The offers for all three Phases must propose services matching the R&D definition; See Article XV(1)(e) [WTO GPA 1994](https://www.wto.org/english/docs_e/legal_e/gpr-94_01_e.htm) and the Article XIII (1)(f) of the [revised WTO GPA 2014](https://www.wto.org/english/docs_e/legal_e/rev-gpr-94_01_e.htm)). |
| Yes / No |
| **A2** | The Tenderer declares that the provided unit prices for each category of R&D-resources (e.g. junior, senior researchers, developers, …) in the submitted offer for Phase 1 are binding for Phases 2 and 3. |
| Yes / No |
| **A3** | * The Tenderer declares that the financial part of the offer for the Framework Agreement must provide binding unit prices for all foreseeable items for the whole duration of the Framework Agreement.
* The financial part of the offer for each phase must provide a breakdown of the price for that Phase in terms of units and unit prices for every type of items in the contract, distinguishing clearly the units and unit prices for product related items.
* The offers for all three Phases will include only items (products) needed to address the challenge in question and to deliver the R&D services described in the Request for Tenders.
 |
| Yes / No |
| **B – Compatibility with other public financing** |
| The tenderer declares that he does not receive public funding from other sources that may lead to double public funding or an accumulation of different types of public financing not permitted by EU legislation, including EU state aid rules. | Yes / No |

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| **C – Place of performance of the contract** |
| The Tenderer declares that:* **At least 50 % of the total value of activities** **covered by the framework agreement** will be performed in the EU Member States or H2020 associated countries. The principal R&D staff working on the PCP will be located in the EU Member States or H2020 associated countries;
* **At least 50% of the total value of activities covered by each specific contract for each PCP phase** must be performed in the EU Member States or in H2020 associated countries. The principal R&D staff working on each specific contract will be located in the EU Member States or H2020 associated countries.
 | Yes / No |
| **D – Compliance with ethics and research integrity requirements** |
| **D1** | The research involves Human Embryonic Stem Cells (HESCs), human participants, human cells or tissues? | Yes / No |
| **D2** | Does this research involve personal data collection and/or processing? | Yes / No |
| **D3** | If yes - Does it involve the collection and/or processing of sensitive personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)? | Yes / No |
| **D4** | Does it involve processing of genetic information? | Yes / No |
| Does it involve tracking or observation of participants? | Yes / No |
| **D5** | The tenderer commits to execute the tasks assigned under the Framework Agreement and the Specific Contracts in compliance with the provisions set out in the Framework Agreement, and compliant with the rules of:1. Ethical principles (including the highest standards of research integrity); and
2. Applicable international, EU and national law
 | Yes / No |
| **E – Compliance with security requirements** |
| The submitted bids comply with the EU, national and international law on dual-use goods or dangerous materials and substances. | Yes / No |

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| **I, the undersigned, being the authorised signatory of the Tenderer/Lead Tenderer hereby declare that all the information provided is accurate and applies to the Consortium as a whole (if applicable).** |
| Lead tenderer – Org. Name  |  |
| Name authorised signatory |  |
| Position |  |
| Signature |  |
| Date, Place |  |
| Stamp, if available |  |